### INDIANA STATE ETHICS COMMISSION



### ETHICS DISCLOSURE STATEMENT CONFLICTS OF INTEREST – DECISIONS AND VOTING

State Form 55860 (R / 10-15)
OFFICE OF THE INSPECTOR GENERAL
IC 4-2-6-9

JAN 3 2023

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In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

| Ocherat a Website.                                   |                                  |                               |                       |  |  |  |
|--|----------------------------------|-------------------------------|-----------------------|--|--|--|
| Name (last)  | Name (first)                     |                               | Name (middle)         |  |  |  |
| Louks  | Douglas                          |                               | Ryan                  |  |  |  |
| Name of office or agency                             |                                  | Job title                     |                       |  |  |  |
| Indiana Department of Environmental Management - OLQ |                                  | Deputy Assistant Commissioner |                       |  |  |  |
| Address of office (number and street)                |                                  | City ZIP code                 |                       |  |  |  |
| 100 N. Senate Ave., IGCN 1154                        |                                  | Indianapolis                  |                       | 46204  |  |  |
| Office telephone number                              | Office e-mail address (required, | )                             |                       |  |  |  |
| ( 317 ) 234-5344                                     | dlouks@idem.in.gov               |                               |                       |  |  |  |
| Describe the conflict of interest:                   |                                  |                               |                       |  |  |  |
| Doug Louks is Deputy Assistant Commiss               | ioner in the Office of Land Qu   | uality at th                  | e Indiana Department  | of Environmental                             |  |  |
| Management (IDEM). Doug Louks has appl               | led for a position at Citizens F | naray Gra                     | un Doug Louke doge i  | not currently have                           |  |  |
| Management (IDEM). Doug Loaks has app                | ied for a position at Ottzens L  | ileigy Old                    | up. Dodg Louks does i | lot currently have                           |  |  |
| any known matter or regulatory oversight o           | f a known matter concerning (    | Citizens Ei                   | nergy Group, However  | , IDEM does have                             |  |  |
|  |                                  |                               |                       | ***************************************      |  |  |
| matters concerning and regulatory overs              | ight of Citizens Energy Grou     | p,                            |                       | ~~~~~~~~~~~~~~~~~                            |  |  |
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| P. I. I.   |  |
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| Describe the screen established by your ethics officer: (Attach additional pages as needed.) Please see the attached screening protocol.   |  |
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| AFFIRMATION  |  |
| Your signature below affirms that your disclosures on this form are true, complete, and cor knowledge and belief. In addition to this form, you have attached a copy of your written disappointing authority and ethics officer. | · · · · · · · · · · · · · · · · · · ·        |
| Signature of state officer, employee or special state appointee  | Date signed (month, day, year)<br>12/12/2022 |
| Printed full name of state officer, employee or special state appointee  Douglas R. Louks  |  |
| FOR ETHICS OFFICER USE ONLY  |  |
| Your signature below affirms that you have reviewed this disclosure form and that it is true best of your knowledge and belief. You also attest that your agency has implemented the   |  |
| Signature of ethics officer  | Date signed (month, day, year)               |
| Printed full name of ethics officer  | 12/30/2027                                   |
| James Mitanal  |  |

# Protocol to Screen IDEM Office of Land Quality's

## Deputy Assistant Commissioner Doug Louks from any Matters Regarding Citizens Energy Group.

Mr. Doug Louks is employed by the Indiana Department of Environmental Management ("IDEM") as a Deputy Assistant Commissioner ("DAC") within the Office of Land Quality ("OLQ"). His current duties include oversight of the Science Services Branch, the Underground Storage Tank Branch and the Emergency Response Section within OLQ.

DAC Louks has applied for the position of Corporate Counsel with Citizens Energy Group ("Citizens"). Citizens is a utility service company, providing natural gas, thermal energy, water, and wastewater services throughout Indianapolis. Duties for this position include representing and providing legal counsel to Citizens with utilities and other regulated fields. DAC Louks has had no direct contact with Citizens in his position as DAC. However, because of his oversight of multiple branches within OLQ, IDEM will institute the following protocol to make sure DAC Louks is screened from any potential future conflict of interest.

- 1. Assistant Commissioner ("AC") Peggy Dorsey is DAC Louks' immediate supervisor. AC Dorsey will not assign any current or future matter that may arise involving Citizens to DAC Louks.
- 2. DAC Louks shall recuse himself from the portion of any meeting in which discussions specific to Citizens or any of its clients or interests are discussed or are expected to be discussed.
- 3. DAC Louks shall not discuss any matter involving Citizens or any of its clients with any other IDEM employee
- 4. AC Dorsey will notify in writing all branch chiefs supervised by DAC Louks that they are not to discuss any matters involving Citizens or any of its clients with DAC Louks or send him any emails concerning Citizens or its clients.
- 5. DAC Louks shall not participate in decisions regarding or relating to Citizens or any of its clients.
- 6. DAC shall not access any correspondence or files relating to or involving Citizens or any of its. Such documents, if not a matter of public record in IDEM's Virtual File Cabinet, shall be housed with the employee assigned to the matter or kept where DEC Louks does not have access to alter the documents.
- 7. DAC Louks shall immediately inform his supervisor and IDEM Ethics Officer James French if any provision of this screening protocol is violated.
- 8. DAC Louks shall follow IC 4-2-6-9(b) and file a written disclosure statement with the State Ethics Commission.
- 9. All signatories to this screening protocol shall review it within thirty (30) days from the date of the last signature to assure it has been followed and determine if the circumstances have changed to make the protocol no longer necessary.

|  | 12/30/2022 |  |
|--|------------|--|
| Doug Louks   | Date       |  |
| Deputy Assistant Commissioner Office of Land Quality       |            |  |
| T2000 Daving   | 1/3/2023   |  |
| Peggy Dorsey Assistant Commissioner Office of Land Quality | Date       |  |
|  |            |  |
| /s/ James French   | 12/30/2022 |  |
| James French   | Date       |  |

**Ethics Officer** 

Office of Legal Counsel

#### French, James M

From:

Louks, Douglas

Sent:

Monday, December 12, 2022 9:49 AM

To:

French, James M

Subject:

FW: Ethics Disclosure - Citizens Energy Group

Attachments:

Douglas Louks - Citizens Energy Disclosure,pdf

See below and attached.

Douglas Louks Deputy Assistant Commissioner IDEM OLQ

From: Louks, Douglas

Sent: Monday, December 12, 2022 9:49 AM
To: Rockensuess, Brian <BRockens@idem.IN.gov>

Cc: Dorsey, Peggy <PDorsey@idem.IN.gov>; Stover, Parvonay (IDEM) <PStover@idem.IN.gov>

Subject: Ethics Disclosure - Citizens Energy Group

Brian,

I was contacted by a headhunter on Friday concerning an in-house legal role with Citizens. That interview will take place in early January. While I don't typically regulate or have matters regarding Citizens being in OLQ, I do have involvement with them in emergency situations where ER is involved. I will work with OLC to setup a screening protocol. Let me know if you have any questions. Thanks.



Douglas Louks
Deputy Assistant Commissioner
Office of Land Quality
Indiana Department of Environmental Management
(317) 234-5344 | dlouks@idem.lN.gov



Help us improve!

IDEM values your feedback